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LETTER

# Preparation and Characterization of High-Quality USb<sub>2</sub> Thin Films on Graphene/6H-SiC(0001)

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**Abstract:** Heavy fermion systems can exhibit abundant attractive quantum ground states by tuning external parameters such as dimension. High-quality USb<sub>2</sub> thin films were prepared on graphene/6H-SiC(0001) surface by molecule beam epitaxy. Combining the reflection high energy electron diffraction, X-ray diffraction, electric transport and X-ray photoelectron spectroscopy measurements, it is demonstrated that the grown USb<sub>2</sub> films are high-quality single crystals. Furthermore, the surface topography, atomic structure and band structures of USb<sub>2</sub> films were characterized by scanning tunneling microscopy (STM) and angle-resolved photoelectron spectroscopy (ARPES). Results show that the surface atomic structure, electric transport property and band structure of the grown USb<sub>2</sub> films are similar to those of bulk USb<sub>2</sub> single crystals. The preparation and characterization of high-quality USb<sub>2</sub> films provide precious experimental experiences for exploring fantastic properties of low-dimensional uranium-based heavy fermion systems by growing ultrathin films with desirable thickness in the future.

**Key words:** USb<sub>2</sub> thin films; STM; ARPES; electronic structure

As typical examples of strongly correlated electron systems, heavy fermion materials exhibit diverse quantum ground states such as magnetic order<sup>[1-3]</sup>, unconventional superconductivity<sup>[4]</sup>, hidden order<sup>[5]</sup> and topological phase<sup>[6]</sup> due to the competition between Kondo spin screening and RKKY long-range magnetic exchange. Because of the properties of f electrons<sup>[7]</sup>, heavy fermion systems have relatively small characteristic energy scales, which allow the acquirement of various quantum states by tuning external parameters such as pressure<sup>[8]</sup>, magnetic field<sup>[9]</sup>, chemical doping<sup>[10]</sup> and dimension. Antiferromagnetic USb<sub>2</sub> provides an ideal platform for such studies, with a high antiferromagnetic transition temperature exceeding 200 K<sup>[11,12]</sup>. By applying pressure<sup>[8]</sup> and magnetism<sup>[9]</sup>, USb<sub>2</sub> exhibits quantum critical behavior. And the kink structure of the electron band near the Fermi level is also observed<sup>[13,14]</sup>. Our previous results observed two different kinds of nearly flat bands in the antiferromagnetic state of USb<sub>2</sub>. One is driven by the Kondo interaction between 5f electrons and conduction electrons, and

the other originates from the magnetic order<sup>[3]</sup>.

In general, many-body correlation effects become more important and complex at lower spatial dimensions. Moreover, both thermal and quantum fluctuations are greatly enhanced with the reduction of dimension. Therefore, if the dimensions of heavy fermion materials can be controllably adjusted to prepare low-dimension system, like quasi two-dimensional ultrathin films<sup>[15-17]</sup>, it is expected to acquire more attractive exotic quantum properties. Previous studies on heavy fermion thin films have mainly focused on rare-earth based materials, such as pure La<sup>[18]</sup> and Ce<sup>[19-21]</sup> films and Ce/Yb-based systems<sup>[22,23]</sup>. So far, there are few reported studies on successful preparation of uranium-based thin films, including pure uranium<sup>[24,25]</sup> and UPd<sub>2</sub>Al<sub>3</sub><sup>[26,27]</sup> thin films. In general, successful examples of preparing high-quality thin films of U-based compounds are extremely scarce at present. Consequently, mastering the method of growing high-quality U-based films undoubtedly provide a precious chance to study the dimensional effects on heavy fermion systems and to

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deepen the understanding of various physical problems and novel quantum effects in them.

Benefiting from the emergence and development of molecular beam epitaxy (MBE) technology, it has become feasible to grow high quality thin films of lanthanide heavy fermion compounds<sup>[28]</sup> and many novel quantum effects have been found in those thin films. Nevertheless, as an important representative of actinide elements, uranium has high evaporation temperature and is toxic, radioactive, and highly active, which pose many challenges to grow U-based high-quality thin films. Specifically, conventional evaporation sources and crucible cannot be used for the growth of U-based materials, because of the high activity of U with which many conventional materials can react. Furthermore, MBE growth of high-quality films of multielement compounds requires the simultaneous participation of two or more elements. Therefore, it is more difficult to grow high-quality thin films of compounds compared to single element. In the present work, we prepared  $\text{USb}_2$  single-crystal thin films by MBE method after exploring a series of growing profiles and persistently optimizing the evaporation parameters. Then reflection high energy electron diffraction (RHEED), X-ray photoelectron spectroscopy (XPS) and X-ray diffraction (XRD) measurement were carried out to monitor the quality of the growing thin films. Electrical transport, scanning tunneling microscopy (STM), and angle-resolved photoelectron spectroscopy (ARPES) studies were performed and the measured properties were compared with those of bulk single crystal, showing that the grown  $\text{USb}_2$  is a relatively high-quality film. The ability of proficiently growing high-quality single-crystal films of U-based binary compounds will be greatly beneficial for investigating the effects of dimensional control on the properties of U-based heavy fermions systems in the future.

## 1 Experiment

$\text{USb}_2$  high-quality thin films were grown by vapor deposition of uranium and antimony on graphene/6H-SiC (0001) surface by MBE method. To be specific, the flux ratio of U and Sb obtained by quartz crystal oscillator was controlled at about 1:25. During the growth, the temperature of graphene substrate was kept at about 580 °C, and the base pressure of MBE chamber was kept below  $6 \times 10^{-7}$  Pa. Since the saturated vapor pressure of Sb is much higher than that of U, the adopted evaporation temperature of U was much higher than the substrate temperature, whereas the latter was set slightly higher than the sublimation temperature of Sb based upon the three-temperature rule<sup>[29-31]</sup>, i. e.  $T_U \gg T_{\text{sub}} > T_{\text{Sb}}$ . Under such condition, the excess Sb will be desorbed from the substrate except for those reacted with U to form compounds. Besides, as long as the ionic flux of Sb is much larger than that of U,  $\text{USb}_2$  phase will be the only product according to the phase diagram of U-Sb<sup>[32]</sup>.

During the growth of  $\text{USb}_2$  film, we combined RHEED to in-situ monitor its quality under ultrahigh vacuum environment. Then we used ex-situ XPS measurement to

determine the composition ratio of the film. In order to determine the crystal structure of the film, ex-situ XRD measurement was conducted at 300 K with  $\text{Cu K}\alpha$  ( $\lambda = 0.154\ 056$  nm) radiation under ambient pressure. The electrical resistivity was detected by the standard four-probe method in a commercial physical property measurement system (PPMS). The contacts were made by pressing indium method quickly. In addition, to obtain topographic images, we used a constant tunneling-current mode at a fixed sample bias voltage by STM, and the base pressure of the STM analysis chamber was better than  $1 \times 10^{-9}$  Pa, while the pressure before annealing in the pretreatment chamber was about  $4 \times 10^{-9}$  Pa, which was better than  $1 \times 10^{-8}$  Pa during annealing. The as-grown film was annealed until clear RHEED stripes appeared, and then it was transferred into ARPES analysis chamber with a base pressure lower than  $7 \times 10^{-9}$  Pa. ARPES measurements were conducted with 21.2 eV photons from a helium discharge lamp. The energy resolution and angular resolution are 10 meV and  $0.2^\circ$ , respectively. A freshly evaporated gold sample in electrical contact with the La films was served to calibrate  $E_F$ .

## 2 Results and Discussion

$\text{USb}_2$  crystallizes in the tetragonal structure of anti- $\text{Cu}_2\text{Sb}$  type, which orders antiferromagnetically below a high Néel temperature of 203 K. As the lattice structure of  $\text{USb}_2$  does not match well with that of SiC, we annealed the SiC substrate by a standard method to obtain the graphene surface to grow  $\text{USb}_2$  films. As expected, the weak van der Waals bonding between graphene and  $\text{USb}_2$  layers effectively reduces the lattice mismatch effect. The crystal structure of  $\text{USb}_2$  and schematic diagram of the epitaxial growth process are shown in Fig. 1a. The RHEED patterns of graphene/6H-SiC(0001) and  $\text{USb}_2$ (001) surface are shown in Fig. 1b and 1c, respectively. It can be seen that the stripes of  $\text{USb}_2$ (001) film are relatively clear and sharp, indicating a good film quality, just like the reported case of SnTe films grown on graphene/6H-SiC<sup>[33]</sup>. Fig. 1d shows the XRD pattern of the  $\text{USb}_2$  thin films measured at room temperature and ambient pressure. The characteristic peaks are similar to that of bulk single crystal<sup>[3]</sup>, which proves that the grown film is a high-quality single crystal and (001) orientated without other phases. Fig. 2e shows measured electrical resistivity  $\rho$  versus  $T$  for  $\text{USb}_2$  film. The overall trend of the  $\rho$ - $T$  curve is highly similar to that of bulk single crystal<sup>[3]</sup>. The characteristic antiferromagnetic phase transition temperature of the grown film is 199 K, which is very close to the Néel temperature of 203 K observed in bulk single crystal.

Fig. 2a and 2b show the XPS spectra of ex-situ Sb 3d/O 1s and U 4f of the grown film before and after  $\text{Ar}^+$  sputtering, respectively. The original Sb 3d/O 1s spectrum, as shown by the red line in Fig. 2a, exhibits double-peak structure at 528.2 and 530.4 eV, which indicates the existence of metallic Sb and  $\text{Sb}_2\text{O}_3$ , respectively. The peak at the 530.4 eV is abnormally high resulting from the overlap of the Sb  $3d_{5/2}$  peak of  $\text{Sb}_2\text{O}_3$  and O 1s peak. The original U 4f spectrum shows that almost all the uranium is oxidized. Obviously, U is much more highly

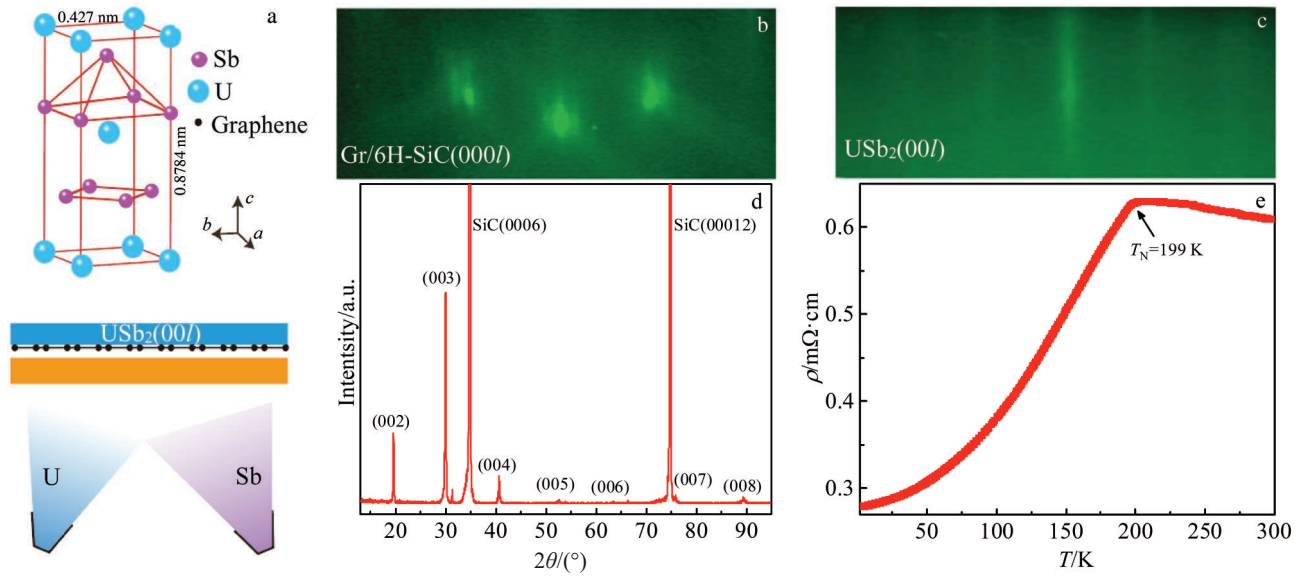


Fig.1 Film quality, surface structure and electrical resistivity of  $USb_2$ : (a) crystal structure of  $USb_2$  (upper panel) and schematic structure of the  $USb_2$  (00l) film on the graphene (Gr)/6H-SiC(000l) surface grown by the MBE method (lower panel); RHEED patterns of Gr/6H-SiC (0001) surface (b) and the grown  $USb_2$  films (c); (d) XRD pattern of the as-grown  $USb_2$  films at room temperature and atmospheric pressure; (e) temperature dependence of electrical resistivity  $\rho$  along the  $a$  axis of  $USb_2$  film ( $T_N$  represents Néel temperature)

oxidized than Sb, revealing an interesting oxidation mechanism of the sample. The oxidation is reasonable because before transferring into XPS chamber, the sample was exposed to air for a short period of time. After 30 s of  $Ar^+$  sputtering, the intensities of the oxide peaks decrease significantly, indicating that most oxides are removed and the thickness of the oxide layer is on the order of  $10^1$  nm. Ultimately, after 60 s  $Ar^+$  sputtering, all the oxides are removed which is supported by the disappearance of O 1s peak. The Sb  $3d_{5/2}$  is at 527.5 eV, lower than that of the metallic Sb  $3d_{5/2}$  (528.2 eV), indicating that Sb possesses negative valence state. Dividing the peak area with appropriate sensitive factor, the atomic ratio of U and Sb is determined to be approximately 1:2, proving that the grown film is  $USb_2$ , indeed<sup>[34]</sup>.

The effect of annealing on the quality of  $USb_2$  film was studied by STM. Fig.3a shows the typical topographic STM image of the unannealed film. Small islands coexist with a large number of disordered structures. Fig.3b shows the topography of the film after annealing at 700 °C for 2 h. The number of ordered islands distinctly increases, but a few disordered structures still exist. Fig.3c shows the topography after annealing for 4 h, and it can be seen that there are only clean and well-ordered flat islands, implying that annealing at high temperature for a long time can effectively improve the film quality at the nanoscale. Fig.3d is the atomically resolved topographic image, which clearly shows that the film has a tetragonal lattice. The measured height profile in Fig.3e reveals that the lattice parameter of  $USb_2(001)$  surface is 0.43 nm, which is consistent with the value in  $USb_2$  single crystal ( $a=b=0.4270$  nm) within the allowed error range. As shown in Fig.3f, the step height of two neighboring layers of the film is

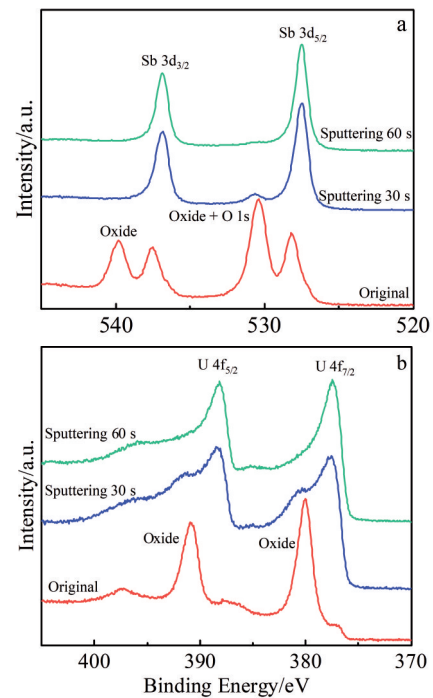


Fig.2 XPS spectra of  $USb_2$  film before and after sputtering for 30 and 60 s: (a) Sb 3d and (b) U 4f

$0.87 \pm 0.03$  nm, which agrees well with the lattice constant of  $0.8784$  nm along  $c$ -axis of  $USb_2$  single crystal.

Fig.4 shows the band structures of  $USb_2$  thin film as well as the bulk single crystal measured at 80 K by ARPES. The photoemission intensities measured around  $\Gamma$  point and along  $\Gamma$ -M directions over a large energy scale are presented in Fig.4a and 4b, respectively. The band structures are very similar to



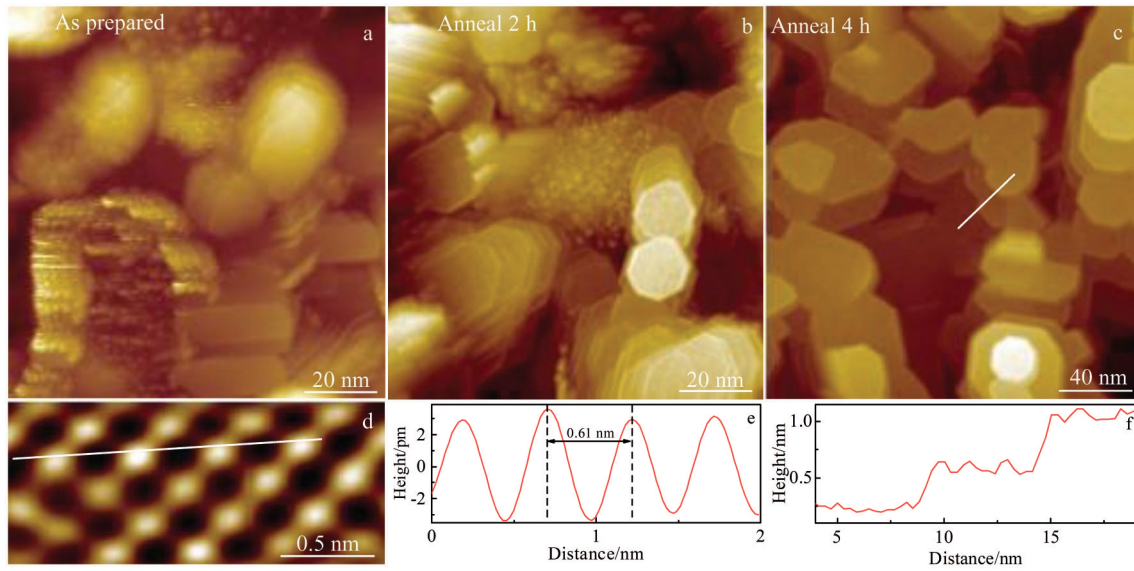


Fig.3 Large-scale topographic STM images of the grown  $\text{USb}_2$  film before (a) and after annealing at  $700\text{ }^\circ\text{C}$  for 2 h (b) and 4 h (c) ( $V_b=1\text{ V}$ ,  $I_t=100\text{ pA}$ ); (d) atomically resolved topographic image after inverse-filtering of the  $\text{USb}_2$  (001) surface ( $V_b=5\text{ mV}$ ,  $I_t=2.5\text{ nA}$ ); (e) height profile showing the interatomic spacing on  $\text{USb}_2$ (001) film measured along the white line in Fig.3d; (f) height profile showing the step heights between neighboring  $\text{USb}_2$  layers taken along the white line in Fig.3c (all the STM measurements were conducted at  $77\text{ K}$ )

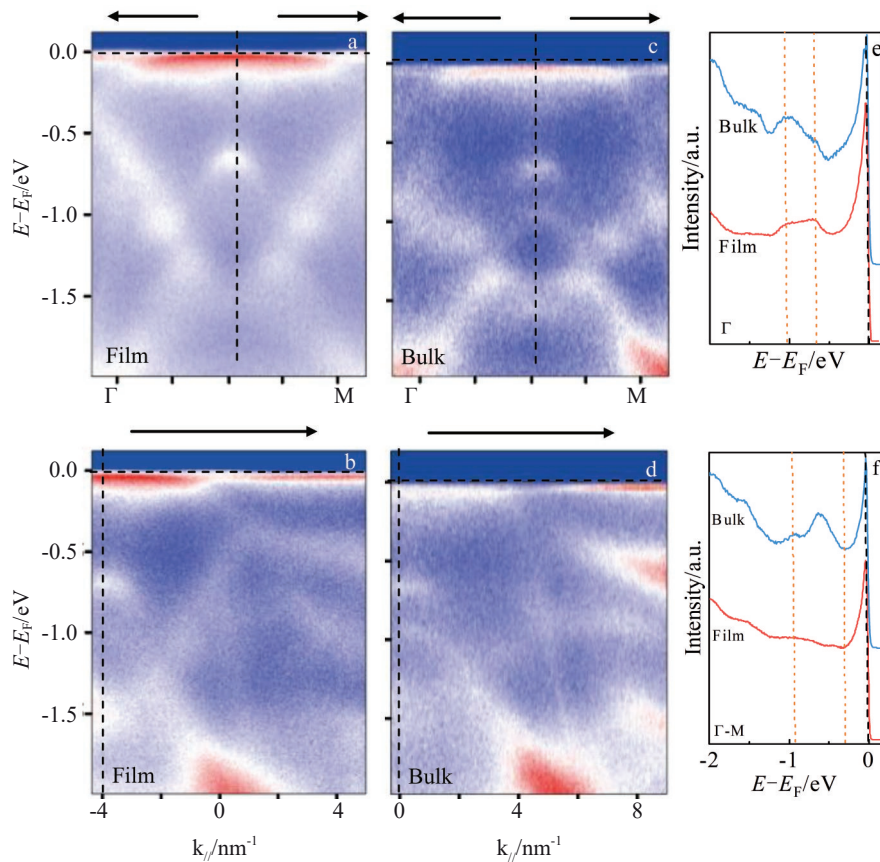


Fig.4 Comparison of the band structures of the  $\text{USb}_2$  film (a, b) and bulk single crystal (c, d) around high symmetric  $\Gamma$  point (a, c) and along  $\Gamma$ -M direction (b, d); comparison of the EDCs of  $\text{USb}_2$  film and bulk single crystal at  $\Gamma$  point (e) and along  $\Gamma$ -M direction (f) (red dashed lines track the energy positions of the peaks and dips in the EDCs of  $\text{USb}_2$  films and bulk single crystals; all the data were measured at  $80\text{ K}$  using  $21.218\text{ eV}$  photons)

the results of bulk  $\text{USb}_2$  single crystal shown in Fig.4c and 4d, indicating that the quality of  $\text{USb}_2$  film is relatively high. Lots of highly dispersive bands originated from itinerant conduction electrons (U 6d and Sb 5p electrons) exist at high binding energies below  $-0.3$  eV. Two nearly flat bands are observed around the  $\Gamma$  and M points, which are mainly contributed from the U 5f electrons. Fig. 4e shows the integrated energy distribution curves (EDCs) of  $\text{USb}_2$  in Fig. 4a and 4c, while Fig. 4f shows the integrated EDCs of  $\text{USb}_2$  in Fig.4b and 4d. Comparing the EDCs of thin film and bulk  $\text{USb}_2$  single crystal, we can see that these peaks and dips locate at the same energy levels as marked by the red dashed lines, but their relative intensity distributions are slightly different. Two extremely sharp photoemission peaks can be observed in the vicinity of  $E_F$ , which are mainly originated from the hybridization between the 5f electrons and conduction electrons. It is an interesting topic to know how the characteristic hybridization transition temperature changes with decreasing film thickness in  $\text{USb}_2$ , which deserves systematic study in the near future.

### 3 Conclusions

1) The successful growth of high-quality  $\text{USb}_2$  thin films on graphene/6H-SiC(0001) surface is achieved by molecular beam epitaxy method.

2) Ex-situ XPS measurements find that  $\text{Ar}^+$  sputtering can effectively remove the oxides on outer surface, and the atomic ratio of U:Sb is determined to be approximately 1:2, proving that the grown film is  $\text{USb}_2$  indeed.

3) XRD data demonstrates that the film is (00l) orientated without other phases. The electrical resistivity as a function of temperature of  $\text{USb}_2$  film is very similar to that of bulk single crystal.

4) In-situ STM measurements suggest that the surface flatness and the ratio of well-ordered island structures of  $\text{USb}_2$  films can be greatly improved by annealing at appropriate high temperatures for enough time. The surface topography and structure analysis show that the step height of the film is consistent with the lattice constant of  $\text{USb}_2$  along  $c$ -axis and the in-plane surface lattice parameter accords with that on bulk  $\text{USb}_2(001)$  plane.

5) ARPES results show that the band structures around  $\Gamma$  point and along  $\Gamma$ -M directions of  $\text{USb}_2$  thin films are very similar to the results of bulk  $\text{USb}_2$  single crystal. Heavy quasi-particle peaks can be observed in the vicinity of  $E_F$ , which are mainly originated from the hybridization between the 5f electrons and conduction electrons.

6) The successful preparation of high-quality  $\text{USb}_2$  film provides an experimental platform for studying the influence of dimension regulation on U-based compounds by adjusting the film thickness and a powerful approach to deepen understanding of the heavy fermion systems in the future.

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## 石墨烯/6H-SiC(0001)表面高质量USb<sub>2</sub>薄膜的制备和表征

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**摘要:** 重费米子体系可以通过维度等调控手段来展现出丰富而有吸引力的量子基态。首次通过分子束外延技术在石墨烯/6H-SiC(0001)衬底成功制备了高质量的USb<sub>2</sub>薄膜。结合反射式高能电子衍射、X射线衍射、电输运和X射线光电子能谱测量, 证明了所制备的USb<sub>2</sub>薄膜是高质量的单晶薄膜。此外, 利用扫描隧道显微镜和角分辨光电子能谱对USb<sub>2</sub>薄膜的表面形貌、原子结构和能带结构进行了表征。结果显示, 生长的USb<sub>2</sub>薄膜的表面原子结构、电输运性质和能带结构与块体USb<sub>2</sub>单晶相似。最后, 高质量USb<sub>2</sub>薄膜的成功制备和表征为未来通过生长理想厚度的超薄膜在低维铀基重费米子系统中探索奇妙性能提供了宝贵的实验经验。

**关键词:** USb<sub>2</sub>薄膜; 扫描隧道显微镜; 角分辨光电子能谱; 电子结构

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